



### SUBSIDIARY/AFFILIATE QUESTIONNAIRE

DATE: \_\_\_\_\_

TO: Collateral Operations - Documentation  
Federal Home Loan Bank of New York  
70 Hudson Street, 7th Floor  
Jersey City, NJ 07032  
Fax: (201) 356-1985  
Email: [collateraldocs@fhlbny.com](mailto:collateraldocs@fhlbny.com)

FROM: \_\_\_\_\_

**1. Please provide information on the Subsidiary/Affiliate:**

Name: \_\_\_\_\_

Address: \_\_\_\_\_

State of Incorporation: \_\_\_\_\_

Effective Date: \_\_\_\_\_

Is there an intercompany lending agreement between the subsidiary/affiliate and \_\_\_\_\_?  
 YES                       NO

Is there an intermediary entity formed between the subsidiary and \_\_\_\_\_?  
 YES                       NO

Name: \_\_\_\_\_

State of Incorporation: \_\_\_\_\_

Effective Date: \_\_\_\_\_ is a (check one):

- Operating Subsidiary     Lower Tier Subsidiary     Affiliate (Lower Tier not Qualifying as Sub)

**2. Subsidiary/Affiliate is a (choose one business type)**

Type of Business:

- Real Estate Investment Trust (also complete section 4)
- Subsidiary Depository Institution
- Registered Broker or Dealer (Functionally Regulated)
- Registered Investment Advisor (Functionally Regulated)
- Registered Investment Company (Functionally Regulated)
- Insurance Company or Agency (Functionally Regulated)
- Real Estate Development and Related Activities (Except Community Development-Related Investments)
- Management of Real Estate Owned and Other Repossessed Assets
- General Leasing
- Investments in a Small Business Investment Company
- Passive Investment Corporation
- Other, please specify: \_\_\_\_\_

**3. Asset Composition of \_\_\_\_\_**

<u>Mortgage Assets</u>	<u>Dollar Amount</u>	<u>Transfer Date</u>
One to Four Family	\$ _____	_____
Multifamily	\$ _____	_____
Commercial	\$ _____	_____

<u>Security Assets</u>	<u>Dollar Amount</u>	<u>Transfer Date</u>
MBS _____	\$ _____	_____
_____	\$ _____	_____
_____	\$ _____	_____

**4. For Subsidiaries only:**

Ownership % (as a whole number): \_\_\_\_\_

**Subsidiary Shares:**

	Certificated	Uncertificated
Common	_____	_____
Preferred	_____	_____
Other: _____	_____	_____

**5. Please confirm intention to pledge:**

Intend     DO NOT intend    ...to pledge subsidiary/affiliate assets to the Bank.

**PRINT NAME:** \_\_\_\_\_

**PRINT TITLE:** \_\_\_\_\_

**TELEPHONE #:** \_\_\_\_\_

**SIGNATURE:** \_\_\_\_\_