



SUMMARY OF MEMBERSHIP FORMS AND AGREEMENTS

** Do Not Include Effective Dates on Agreements **

The following agreements are **Required (original copies)**:

1. **HLB-101 – Advances, Collateral Pledge and Security Agreement (ACPSA):**
Defines all terms and conditions regarding the extension of advances.
2. **HLB-104 – Correspondent Services Agreement:**
Defines all terms and conditions regarding the customer's use of demand accounts, use of the FHLBNY's secure internet banking system, 1Linksm and other FHLBNY correspondent services. These other services include, but are not limited to, deposit programs, securities safekeeping and wire transfers.
3. **HLB-110 – Amendment to Correspondent Services Agreement – 1LinkSK Services:**
Defines the additional terms and conditions regarding the customer's use of the FHLBNY's 1LinkSK System for Safekeeping Services.
4. **SFK-007 – Securities Account Control Agreement (three original agreements):**
Statement of Securities Control provisions to utilize the FHLBNY's Safekeeping Service and is required to pledge securities to the FHLBNY.
5. **HLB-106 – Global Authorization Form (GAF) for a minimum of *three users*:**
Defines the user entitlements for an individual within the customer's institution and his or her authorization to execute wires, advances, securities safekeeping trades, letters of credit and access to 1Link and 1LinkSK for accessing reports and/or processing transactions.
6. **HLB-108 – Wire Transfer Agreement:**
Defines all terms and conditions regarding the customer's use of the wire transfer service. This form will be provided on an annual basis which indicates your continued use of the FHLBNY's Funds Transfer service constitutes acceptance of those Wire Transfer Agreement.
7. **HLB-109 – Application for Advances and Commitments for Advances Pursuant to Telephonic Request:**
Defines all terms and conditions regarding future advance applications made verbally via the telephone. This master application eliminates the need for a written application each time an advance is requested.
8. **HLB-113 – Member Representations and Warranties:**
Member certifies that each loan pledged to the FHLBNY as collateral or as underlying collateral in a mortgage/asset-backed security complies with local, state, and Federal laws, and interagency guidance for nontraditional and subprime lending. Agrees to immediately replace non-complying collateral. Indemnifies the FHLBNY.
9. **COL-139 – UCC-1 Filing Information Request Form:**
Customer provides information required for the filing of UCC-1 financing statement.
10. **COL-131 – Schedule of Customer Subsidiary/Affiliate Structures Status of Pledge or Non-Pledge of Assets:**
Customer certifies that all subsidiaries/affiliates and pledge status of the assets held by each. If customer has subsidiaries/affiliates, it must submit a Subsidiary/Affiliate Questionnaire for each.

The following agreements are **Optional**:

11. **[HLB-125](#) – Callable Advance, Putable Advance, Putable Advance with Customized Strike, Adjustable Rate Advance with Cap and Fixed Rate Advance with CAP – *Product Disclosure***

[HLB-126](#) – Callable Advance, Putable Advance, Putable Advance with Customized Strike, Adjustable Rate Advance with Cap and Fixed Rate Advance with CAP – *Customer Certification*

Defines all terms and conditions regarding the extension of credit under the Callable Advance, Putable Advance, Putable Advance with Customized Strike, Adjustable Rate Advance with Cap and Fixed Rate Advance with CAP product.

12. **Interest Rate Exchange Agreements:**

Enables customers to use financial instruments such as swaps (including caps, collars and floors).

1992 International Swap Dealers Associations, Inc. (ISDA) Master Agreement¹
Schedule to the Master Agreement¹

[HLB-130](#) – Special Amendment to Advances, Collateral, Pledge and Security Agreement

[HLB-131](#) – Form of (Assistant/Corporate) Secretary's Certificate

¹ Please request these forms from a Calling Officer at (212) 441-6700 or a Member Services Desk Representative at (212) 441-6600 or (800) 546-5101, option 1.

13. **[COL-130](#) – Subsidiary/Affiliate Questionnaire:**

Customer provides asset composition and pledge status information on each subsidiary/affiliate. If a subsidiary/affiliate intends to pledge assets, it must submit a tri-party Subsidiary/Affiliate Collateral Pledge and Security Agreement, if not, countersign a Collateral Assurance Letter.