



SUBSIDIARY/AFFILIATE QUESTIONNAIRE

DATE: _____

TO: Collateral Operations - Documentation
Federal Home Loan Bank of New York
30 Montgomery Street, 3rd Floor
Jersey City, NJ 07032
Fax: (201) 356-1985
Email: collateraldocs@fhlbny.com

FROM: _____

1. Please provide information on the Subsidiary/Affiliate:

Name: _____

Address: _____

State of Incorporation: _____

Effective Date: _____

Is there an intercompany lending agreement between the subsidiary/affiliate and _____?
 YES NO

Is there an intermediary entity formed between the subsidiary and _____?
 YES NO

Name: _____

State of Incorporation: _____

Effective Date: _____ is a (check one):

- Operating Subsidiary Lower Tier Subsidiary Affiliate (Lower Tier not Qualifying as Sub)

2. Subsidiary/Affiliate is a (choose one business type)

Type of Business:

- Real Estate Investment Trust (also complete section 4)
- Subsidiary Depository Institution
- Registered Broker or Dealer (Functionally Regulated)
- Registered Investment Advisor (Functionally Regulated)
- Registered Investment Company (Functionally Regulated)
- Insurance Company or Agency (Functionally Regulated)
- Real Estate Development and Related Activities (Except Community Development-Related Investments)
- Management of Real Estate Owned and Other Repossessed Assets
- General Leasing
- Investments in a Small Business Investment Company
- Passive Investment Corporation
- Other, please specify: _____

3. Asset Composition of _____

<u>Mortgage Assets</u>	<u>Dollar Amount</u>	<u>Transfer Date</u>
One to Four Family	\$ _____	_____
Multifamily	\$ _____	_____
Commercial	\$ _____	_____

<u>Security Assets</u>	<u>Dollar Amount</u>	<u>Transfer Date</u>
MBS _____	\$ _____	_____
_____	\$ _____	_____
_____	\$ _____	_____

4. For Subsidiaries only:

Ownership % (as a whole number): _____

Subsidiary Shares:

	Certificated	Uncertificated
Common	_____	_____
Preferred	_____	_____
Other: _____	_____	_____

5. Please confirm intention to pledge:

Intend DO NOT intend ...to pledge subsidiary/affiliate assets to the Bank.

PRINT NAME: _____

PRINT TITLE: _____

TELEPHONE #: _____

SIGNATURE: _____