



PLEDGE QUESTIONNAIRE

Please complete the following questionnaire and provide the applicable required documentation to pledge loans to the Federal Home Loan Bank of New York (“FHLBNY”).

I. TYPE OF COLLATERAL TO PLEDGE:

All loans pledged to the FHLBNY must comply with the ‘*Member Representations and Warranties with Respect to Mortgage Loans and Mortgage-Backed Securities Collateral Pledged to the Federal Home Loan Bank of New York*’ (“Rep and Warrant”). http://www.fhlbny.com/forms/hlb_113.pdf. If your institution has not signed a Rep and Warrant within the past 36 months, one must be executed in conjunction with the ‘*Pledge Questionnaire*’.

Residential Loans

- Residential 1-4 Family / Condo / Coop - 1st Liens
- Home Equity Closed End 1st Lien
- Residential 2nd Lien (includes Second Lien, Residential 1-4 and Home Equity Closed End)
- Home Equity Line of Credit (“HELOC”) 1st Lien and 2nd Lien
- Individual and/or Participation/Syndication Loans with aggregate original balances of \$25 million or greater **Require Pre-Approval by Collateral Review (Complete Forms [COL-128-RESI/](#) [COL-144](#))**

What is the origination channel for the loans being pledged?

- All Originated In-house
- Broker/Correspondent
- Acquired via Merger/ Acquisition
- Purchased Pool

If Brokers or Correspondents were used, please provide copies of executed origination agreement(s).

Income Producing Loans

- Multi-Family / Commercial / Mixed Use – 1st Lien
- Multi-Family / Commercial / Mixed Use – 2nd Lien
- Individual and/or Participation/Syndication Loans with aggregate original balances of \$25 million or greater **Require Pre-Approval by Collateral Review (Complete Forms [COL-128-IP/](#) [COL-144](#))**

II. DATA REPORTING (Newly Pledging Customers Only):

1) Has your institution received a secure File Transfer Service (FTS) login?

- If NO, refer to *Getting Started with FHLBNY Mortgage Data Reporting* (COL-012) provided on the FHLBNY website at: http://www.fhlbny.com/forms/col_012.pdf
- If YES, proceed to Question 2.

2) Has your institution prepared an electronic listing in the required Collateral System Mortgage Data format?

If NO, refer to *Getting Started with FHLB NY Mortgage Data Reporting* (COL-012) provided on the FHLB NY website at: http://www.fhlbny.com/forms/col_012.pdf

If YES, proceed to next section.

III. **THIRD PARTY PLEDGING:**

1) Are any of the loans to be pledged from an entity other than the Member institution, such as a subsidiary or affiliate?

If YES, please review the *Instructions for Completing Third Party Structure Questionnaires*: http://www.fhlbny.com/forms/col_129.pdf.

If NO, proceed to next section.

2) Are any loans in the proposed pledging currently pledged?

If YES, please indicate the legal name of the pledging entity: _____

NO

IV. **PURCHASE/SALE/PLEDGE OF LOANS:**

1) Are any of the proposed loans currently pledged to another institution including another FHLB or Federal Reserve Bank?

If YES, indicate the name(s) of the institution(s) below:

NO

2) List, or include a listing of, institutions to which your institution currently pledges loans.

3) Has your institution purchased loans?

If YES, provide the purchase agreements for loans to be pledged.

NO

4) Does your institution actively sell loans?

- If YES, please remove all sold loans from the listing of loans to be pledged prior to the release of the notes to the investor.
- NO

V. LEGAL DOCUMENTS LOCATION(S):

1) Address where the legal documents (original Promissory Notes, recorded mortgage, etc.) are located:

Address: _____

Contact Person:

Name/Title: _____

Phone: _____

Email: _____

2) Address where the credit files and all other related documents are located:

- Same as legal documentation

Address: _____

VI. CUSTODIAL RELATIONSHIP AND DOCUMENTS LOCATION(S):

1) Provide name of institution and address where the legal documents (original Promissory Notes, recorded mortgage, etc.) are located:

Name of Institution: _____

Address: _____

Contact Person:

Name/Title: _____

Phone: _____

Email: _____

2) Name and address of institution where the credit and all other documents are located:

Same as legal documentation

Name of Institution: _____

Address: _____

3) *If applicable, provide copy of custodial documents/agreement(s) to CollateralDocs@fhlny.com*

4) Does your institution image mortgage documents?

If YES, what system is used? _____

NO

5) Does your institution use eNotes?

YES

NO

VII. LOAN SERVICING:

1) If servicing is not performed by your institution, provide name and address for the servicer and/or sub-servicer of the loans being pledged (e.g. the organization that accepts payments on the loans).

SERVICER INFORMATION

Institution Name _____

Address: _____

Contact Information:

Name: _____

Phone: _____

Email: _____

SUB-SERVICER INFORMATION

Institution Name _____

Address: _____

Contact Information:

Name: _____

Phone: _____

Email: _____

2) Do you own the mortgage servicing rights for the loans you want to pledge?

YES NO

3) *If applicable, email a copy of the Servicing and/or Sub-servicing Agreement(s) to CollateralDocs@fhlny.com*

4) Are any loans to be pledged registered under Mortgage Electronic Registration Systems Inc. (MERS)?

- If YES, Please complete a *MERS Questionnaire*: http://www.fhlbny.com/forms/col_145.pdf.
- NO

VIII. SERVICING SYSTEM AND SERVICE BUREAUS:

5) Is data reporting produced directly from your organization?

- If YES, what is the name of the servicing system that pledged loans will be reported from?

- If NO, please provide the name and address of the service provider that will produce the data reporting:

Name of Institution: _____

Address: _____

Contact Information - Name: _____

Phone: _____

Email: _____

IX. MEMBER CONTACT INFORMATION:

Chief Lending Officer

Name: _____

Title: _____

Office Address: _____

Phone: _____

Fax: _____

Email: _____

Head of Loan Servicing

Name: _____

Title: _____

Office Address: _____

Phone: _____

Fax: _____

Email: _____

